Victor G. Moffitt

Investment Professional &

Owner of Moffitt & Associates, LLC

Bachelor of Science Accounting,

Johnson & Wales University 1978

Tax Accountant for 36 years,

Victor Moffitt & Co., Inc.

RI State Representative 2002 – 2008,

District 28 – Coventry, RI

Former Commander, VFW Post 7606.

US Air Force – Vietnam Veteran 1968 – 1972.

Former Grand Knight, Knights of Columbus.

Former President,

Pawtuxet Valley Chamber of Commerce.

Security licensed series 6, 7, 26, & 63.

Insurance licensed in RI, CT & MA.

Security licensed in RI, CT, MA, NH, VT, ME, MA, NJ, PA, VA, FL & CO.

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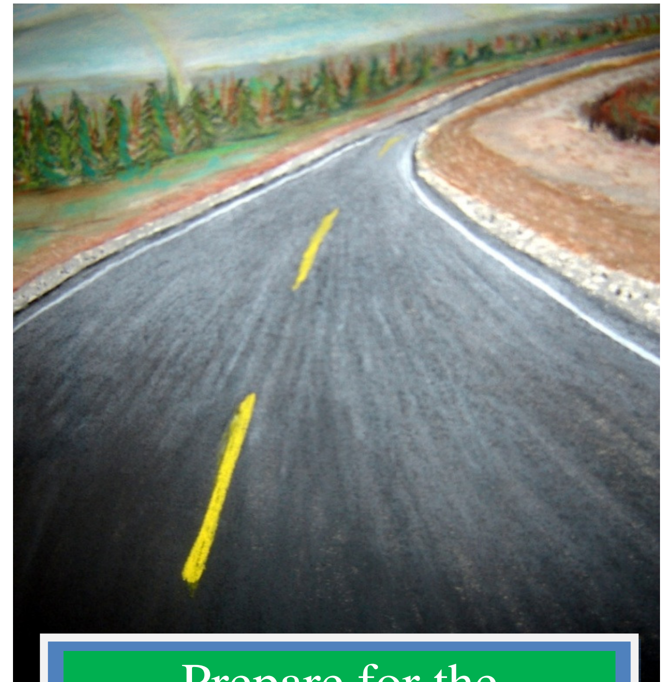
Honesty

Integrity

passion



Prepare for the ROAD AHEAD

MOFFITT & ASSOCIATES, LLC

Our Clients
Are Our Most Important Assets.

CUSTOMIZED SERVICE & INDEPENDENCE

Moffitt & Associates, LLC has helped many investors establish customized financial plans suited to their individual needs. Because we are not affiliated with or owned by an investment or insurance company, we are completely independent to recommend investment opportunities that are appropriate to your investment goals, objectives, and risk tolerance level.

Investment Options

We can help you create a balanced portfolio from a wide range of financial products and services, including:

- STOCKS
- BONDS
- MUTUAL FUNDS
- REITS
- FIXED & VARIABLE ANNUITIES
- CERTIFICATES OF DEPOSIT
- MORTGAGE SERVICES
- MANAGED ACCOUNTS
- LIFE INSURANCE
- LONG TERM CARE INSURANCE
- ESTATE PLANNING
- RETIREMENT PLANNING
- EDUCATIONAL PLANNING

OUR MISSION:

To help our clients achieve their financial goals and to have the lives they dreamed about come true.

Our Four Step Process:

IDENTIFY FINANCIAL OBJECTIVES

We begin by learning about you. What are your financial goals? How much time do you have to achieve those goals? What does your life look like when your financial goals are realized?

EVALUATE RISK TEMPERAMENT

Next, we work with you to identify, analyze and measure potential loss exposures. How much risk are you willing to accept in return for reward?

BUILD A BALANCED PORTFOLIO

We then help you construct a flexible portfolio of investments, based on both liquidity and marketability. Which investments best suit your needs and help you protect against risk through diversification?

Diversification does not guarantee against market losses. It is a method used to help manage investment risk.

MONITOR & REVIEW INVESTMENTS

Over time, we measure the performance of your portfolio and help you make adjustments based on changes in your objectives and propensity for risk. Are your investments still on course toward obtainment of your financial objectives?